



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/014,258	12/11/2001	Nevenka Dimitrova	US010512	2763

24737 7590 12/20/2006

PHILIPS INTELLECTUAL PROPERTY & STANDARDS

P.O. BOX 3001

BRIARCLIFF MANOR, NY 10510

EXAMINER

HOSSAIN, FARZANA E

ART UNIT

PAPER NUMBER

2623

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	12/20/2006	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

**Office Action Summary**

Application No.

10/014,258

Applicant(s)

DIMITROVA ET AL.

Examiner

Farzana E. Hossain

Art Unit

2623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 12 October 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-29 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-29 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 31 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10/12/2006 has been entered.

### ***Response to Amendment***

2. This office action is in response to communications filed 10/12/2006. Claims 1, 6, 20, 28 are amended. Claims 2-5, 9-14, 16-19, 21-27, 29 are original. Claims 8, 15 are previously presented.

### ***Response to Arguments***

3. Applicant's arguments with respect to claims have been considered but are moot in view of the new ground(s) of rejection.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1-5, 7-10, 12, 13, 15-19, 21-24, 26 are rejected under 35 U.S.C. 102(e) as being anticipated by Huber et al (US 2002/0120935 and hereafter referred to as "Huber").

Regarding Claims 1 and 15, Huber discloses a method of and a system for performing a transaction using a video device, the method comprising the steps of and the system comprising: a set top box (STB) for acquiring a video signal containing a video program (Page 1, paragraph 0011); the STB including a memory or storage (Page 1, paragraph 0001, Page 3, paragraph 0020), a processor or a processing element as processing occurs to offer products to the users based on the user's preferences and history (Page 3, paragraph 0031) and an input/output means associated therewith for transferring the signal or input and output means of a set top box or personal computer which receives broadcast signals and responds to advertisements or products (Pages 1-2, paragraphs 0014), the processor capable of: extracting from the video signal video enhanced content information of at least one marked product present on the video

Art Unit: 2623

program (Page 3, paragraphs 0028-0031); presenting to the user the video enhanced content information (Page 3, paragraphs 0031, Page 2, paragraphs 0012, 0013); receiving a selection of a marked product of interest (Page 2, paragraphs 0015, 0016); performing a search to identify data related to the selected product (Page 1, paragraphs 0008, 0009, Page 2, paragraphs 0012, 0015); and providing the identified data to a user of the video device (Page 1, paragraphs 0008, 0009, Page 2, paragraphs 0012, 0015); a video device operatively coupled with the STB for displaying the video program, video enhanced content information and identified data to the user (Pages 1-2, paragraphs 0011); and a input device operatively associated with the STB for controlling the STB (Page 2, paragraph 0015).

Regarding Claims 2 and 16, Huber discloses all limitations of Claims 1 and 15 respectively. Huber disclose the video signal includes metadata or data about the data including seller information or information about the product (Pages 1-2, paragraphs 0011, 0013).

Regarding Claims 3 and 17, Huber discloses all limitations of Claims 1 and 15 respectively. Huber discloses the processor is further capable of purchasing the selected product (Page 2, paragraphs 0029).

Regarding Claims 4 and 18, Huber discloses all limitations of Claims 1 and 15 respectively. Huber discloses filtering the video enhanced content information based on stored preferences customized by at least one user (Pages 1-2, paragraphs 0011, Page 3, paragraph 0031).

Art Unit: 2623

Regarding Claims 5 and 19, Huber discloses all limitations of Claims 4 and 18 respectively. Huber discloses that the user's preferences include values and life style of the user (Page 2-3, paragraphs 0019, 0031).

Regarding Claims 7 and 21, Huber discloses all limitations of Claims 1 and 15 respectively. Huber discloses prioritizing results of the search performed based on predetermined factors (Page 2, paragraphs 0012-0013, 0019, Page 3, paragraph 0031).

Regarding Claims 8 and 22, Huber discloses all limitations of Claims 7 and 21 respectively. Huber discloses that predetermined factors are at least one of user preferences and availability (Pages 1-2, paragraphs 0011, 0014-0016, Page 3, paragraphs 0031).

Regarding Claims 9 and 23, Huber discloses all limitations of Claims 1 and 15 respectively. Huber discloses searching sources from at least one predetermined list for a particular category (Page 2, paragraphs 0012-0013).

Regarding Claims 10 and 24, Huber discloses all limitations of Claims 1 and 15 respectively. Huber disclose a source for the video signal, the video signal source being selected from a group consisting of a broadcasting system, a service provider and a set top box (Pages 1-2, paragraph 0011).

Regarding Claims 12, Huber discloses all limitations of Claim 1. Huber discloses receiving and analyzing transaction related information from the user or purchase history (Page 3, paragraph 0031).

Regarding Claims 13 and 26, Huber discloses all limitations of Claims 1 and 15 respectively. Huber discloses periodically monitoring the content information and triggering an action based on user's preferences (Page 3, paragraphs 0031).

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claim 6, 20, 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Huber in view of Tavor et al (US 6,553,347 and hereafter referred to as "Tavor").

Regarding Claims 6 and 20, Huber discloses all limitations of Claims 1 and 15 respectively. Huber disclose the identified data includes a source of the product of interest (Page 2, paragraphs 0012-0013) Huber is silent on the step of negotiation with the product source by offering a price that the user is willing to pay to buy the product of interest other than a prices initially offered by the source and outputting the results of the negotiation. Tavor discloses negotiating with the product source by offering a price that the user is willing to pay to buy the product of interest other than a price initially offered by the source (Column 4, lines 20-40, Column 6, lines 28-34, 51-60) and outputting the results of the negotiation (Column 6, lines 28-34, 51-60). Therefore, it would have been obvious to one of ordinary skill art at the time the invention was made

Art Unit: 2623

to modify Huber to include negotiating with the product source by offering a price that the user is willing to pay to buy the product of interest other than a price initially offered by the source (Column 4, lines 20-40, Column 6, lines 28-34, 51-60) and outputting the results of the negotiation (Column 6, lines 28-34, 51-60) as taught by Tavor in order to allow the user to feel like they are in a real shop 24 hours a day even if negotiating is performed electronically (Column 1, lines 9-50) as disclosed by Tavor.

Regarding Claim 28, Huber discloses a method of performing a transaction using a video device, the method comprising the steps of: acquiring a video signal containing a video program (Figures 1, 2, 3); customizing preferences for at least one user (Page 2, paragraphs 0012, 0015, 0016); extracting from the video signal video enhanced content information representative of at least one product presented on the video program (Page 3, paragraphs 0028-0031); filtering the video enhanced content information based on the preferences (Page 3, paragraphs 0028-0031, Pages 1-2, paragraphs 0007-0011); presenting to the user the filtered video enhanced content information (Page 2, paragraph 0015); receiving a selection of a product of interest (Pages 1-2, paragraph 0011); performing a search to identify a source of the selected product through at least one predetermined list of information sources for a particular category (Page 2, paragraphs 0012-0013); prioritizing the results of the search (Page 2, paragraphs 0012-0013, 0019, Page 3, paragraph 0031); allowing the user to authorize purchasing of the selected product or user is selecting to purchase the product (Pages 3, paragraphs 0028-0031), providing feedback information to the user or performing a check to determine if at least one version of product exists using preference information



(Page 2, paragraphs 0016, 0018 Page 3, paragraphs 0028); completing a purchase transaction for the selected product (Page 3, paragraphs 0029). Huber discloses making purchases (Figures 1-3).

Huber is silent on negotiation with the identified product source by offering a price the user is willing to pay to buy the selected product other than a price initially offered by the source regarding the selected product; outputting results of the negotiation; prioritizing the results of the negotiations based on predetermined factors; allowing the user to authorize purchasing of the selected product; and receiving and analyzing satisfaction response from the user. Tavor discloses in the negotiation with the identified product source by offering a price the user is willing to pay to buy the selected product other than a price initially offered by the source regarding the selected product (Column 4, lines 20-40, Column 6, lines 28-34. 51-60); outputting results of the negotiation (Column 6, lines 28-34, 51-60); prioritizing the results of the negotiations based on predetermined factors (Column 7, lines 1-5, Column 38-47, Column 5, lines 51-63, Column 4-15); allowing the user to authorize purchasing of the selected product (Column 5, lines 64-67, Column 6, lines 1-12), receiving and analyzing satisfaction response from the user (Column 17, lines 4-45). Therefore, it would have been obvious to one of ordinary skill art at the time the invention was made to modify Huber to include negotiation with the identified product source by offering a price the user is willing to pay to buy the selected product other than a price initially offered by the source regarding the selected product (Column 4, lines 20-40); outputting results of the negotiation (Column 6, lines 28-34, 51-60); prioritizing the results of the negotiations based on

Art Unit: 2623

predetermined factors (Column 7, lines 1-5, Column 38-47, Column 5, lines 51-63, Column 4-15); allowing the user to authorize purchasing of the selected product (Column 5, lines 64-67, Column 6, lines 1-12), receiving and analyzing satisfaction response from the user (Column 17, lines 4-45) as taught by Tavor in order to allow the user to feel like they are in a real shop 24 hours a day even if negotiating is performed electronically (Column 1, lines 9-50) as disclosed by Tavor.

8. Claims 11, 14, 25, 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Huber in view of Shoff et al (US 2005/0015815 and hereafter referred to as "Shoff").

Regarding Claims 11 and 25, Huber discloses all limitations of Claims 1 and 15 respectively. Huber discloses selectable regions (Page 2, paragraphs 0012-0014). Huber is silent on video signal is separate into a plurality of frames, each frame from at least a portion of the plurality of frames being subdivided into selectable regions, the processor capable of selecting at least one selectable region during the selecting step. In analogous art, Shoff discloses video signal is separate into a plurality of frames (Page 6, paragraph 0067, Page 7, Table 1, Page 8, Table 2), each frame from at least a portion of the plurality of frames being subdivided into selectable regions, the processor capable of selecting at least one selectable region during the selecting step (Page 6, paragraph 0067, Figures 8a, 8b, 8c, Page 7, Table 1, Page 8, Table 2). Therefore, it would have been obvious to one of ordinary skill art at the time the invention was made to modify Huber to include video signal is separate into a plurality of frames (Page 6,

Art Unit: 2623

paragraph 0067, Page 7, Table 1, Page 8, Table 2), each frame from at least a portion of the plurality of frames being subdivided into selectable regions, the processor capable of selecting at least one selectable region during the selecting step (Page 6, paragraph 0067, Figures 8a, 8b, 8c, Page 7, Table 1, Page 8, Table 2) as taught by Shoff in order to synchronizes the supplemental content to the program (Page 6, paragraph 0067) as disclosed by Shoff.

Regarding Claims 14 and 27, Huber discloses all limitations of Claims 1 and 15 respectively. Huber discloses making personalized products best reflecting the customer's preferences (Page 3, paragraphs 0031). Huber does not explicitly disclose a catalog. In analogous art, Shoff disclose presenting merchandise in a merchandise catalog or in reference to the customer choosing to view merchandise (Page 6, paragraph 0076, Page 7, paragraph 0080). Therefore, it would have been obvious to one of ordinary skill art at the time the invention was made to modify Huber to include video signal is separate into a plurality of frames (Page 6, paragraph 0067, Page 7, Table 1, Page 8, Table 2), each frame from at least a portion of the plurality of frames being subdivided into selectable regions, the processor capable of selecting at least one selectable region during the selecting step (Page 6, paragraph 0067, Figures 8a, 8b, 8c, Page 7, Table 1, Page 8, Table 2) as taught by Shoff in order to control the presentation of the merchandise with the program (Page 1, paragraph 0013, Page 2, paragraph 0020) as disclosed by Shoff.

Art Unit: 2623

9. Claim 29 is rejected under 35 U.S.C. 103(a) as being unpatentable over Huber in view of Tavor as applied to claim 28 above, and further in view of Kitsukawa et al (US 2002/0059590 and hereafter referred to as "Kitsukawa").

Regarding Claim 29, Huber and Tavor disclose all limitations of Claim 28. Huber and Tavor are silent on storing the video signal in a storage device. In analogous art, Kitsukawa discloses storing the video signal in a storage device or the video is recorded (Pages 3-4, paragraph 0036). Therefore, it would have been obvious to one of ordinary skill art at the time the invention was made to modify the combination to include storing the video signal in a storage device or the video is recorded (Pages 3-4, paragraph 0036) as taught by Kitsukawa in order to allow the user to watch a program at a later time (well known in the art) to be able to make purchases from the products advertised in the program (Page 6, paragraphs 0058, 0060) as disclosed by Kitsukawa that had not been watched when broadcasted.

### ***Conclusion***

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Farzana E. Hossain whose telephone number is 571-272-5943. The examiner can normally be reached on Monday to Friday 8:00 am to 4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Christopher Grant can be reached on 571-272-7294. The fax phone

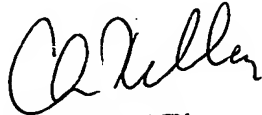
Art Unit: 2623

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

FEH

December 15, 2006

  
CHRIS KELLEY  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2600